Overall Classification of this brief is UNCLASSIFIED

1203, 2010 & SMEB Working Group

Joint Staff J-7
Deputy Directorate Program Management Activities
Policy and Programs Division
Program and Requirements Branch

26 August 2014

Agenda

- 1300 – 1400 FY14 NDAA Section 1203
- 1400 – 1445 USC 2010 - Developing Country Combined Exercise Program (DCCEP)
- 1445 – 1500 Break
- 1500 – 1600 Significant Military Exercise Brief (SMEB)
- 1600 – 1700 Q&A

1203 Discussion

1203 Agenda

- Statute: FY14 NDAA Section 1203
- SECDEF Interim Implementation Guidance
- Joint Staff Implementation
  - Proposal Template

FY14 NDAA Section 1203 Authority

- **SECDEF approval:** General purpose forces of the United States Armed Forces may train *with* the military forces or other security forces of a *friendly foreign* country if the Secretary of Defense determines that it is *in the national security interests of the United States* to do so.

- **State concurrence:** *Before* conducting a training event in or with a foreign country under this subsection, the Secretary of Defense shall seek the *concurrence of the Secretary of State* in such training event.

FY14 NDAA Section 1203 Authority

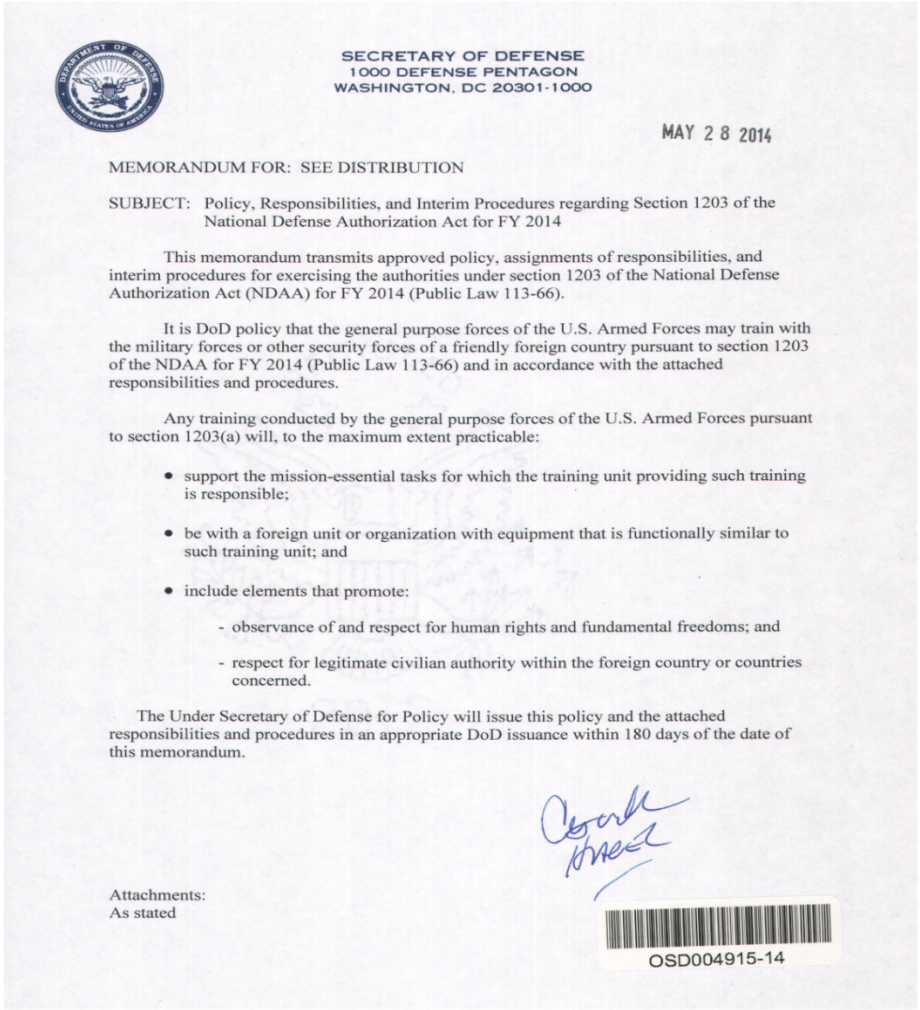
- **Training must, to the maximum extent practicable:**
  1. support the mission essential tasks for which the training unit providing such training is responsible;
  2. be with a foreign unit or organization with equipment that is functionally similar to such training unit; and
  3. include elements that promote—
     - observance of and respect for human rights and fundamental freedoms; and
     - respect for legitimate civilian authority within the foreign country or countries concerned.

FY14 NDAA Section 1203 Authority

- **Funding authority:**
  - $10M fiscal worldwide cap

    (Submissions on 1 Sep might lead to prioritization)
  - OSD provides *authority*, no funds – Services and CCMDs use O&M funds
- **Congressional notification 15 days prior**
- **Annual Report to Congress due 1 Apr**
- **"Incremental Expenses" Definition**
  - Direct result of that country's participation in training

SECDEF Implementation Guidance



SECRETARY OF DEFENSE
1000 DEFENSE PENTAGON
WASHINGTON, DC 20301-1000

MAY 28 2014

MEMORANDUM FOR: SEE DISTRIBUTION

SUBJECT: Policy, Responsibilities, and Interim Procedures regarding Section 1203 of the National Defense Authorization Act for FY 2014

This memorandum transmits approved policy, assignments of responsibilities, and interim procedures for exercising the authorities under section 1203 of the National Defense Authorization Act (NDAA) for FY 2014 (Public Law 113-66).

It is DoD policy that the general purpose forces of the U.S. Armed Forces may train with the military forces or other security forces of a friendly foreign country pursuant to section 1203 of the NDAA for FY 2014 (Public Law 113-66) and in accordance with the attached responsibilities and procedures.

Any training conducted by the general purpose forces of the U.S. Armed Forces pursuant to section 1203(a) will, to the maximum extent practicable:

- support the mission-essential tasks for which the training unit providing such training is responsible;
- be with a foreign unit or organization with equipment that is functionally similar to such training unit; and
- include elements that promote:
  - observance of and respect for human rights and fundamental freedoms; and
  - respect for legitimate civilian authority within the foreign country or countries concerned.

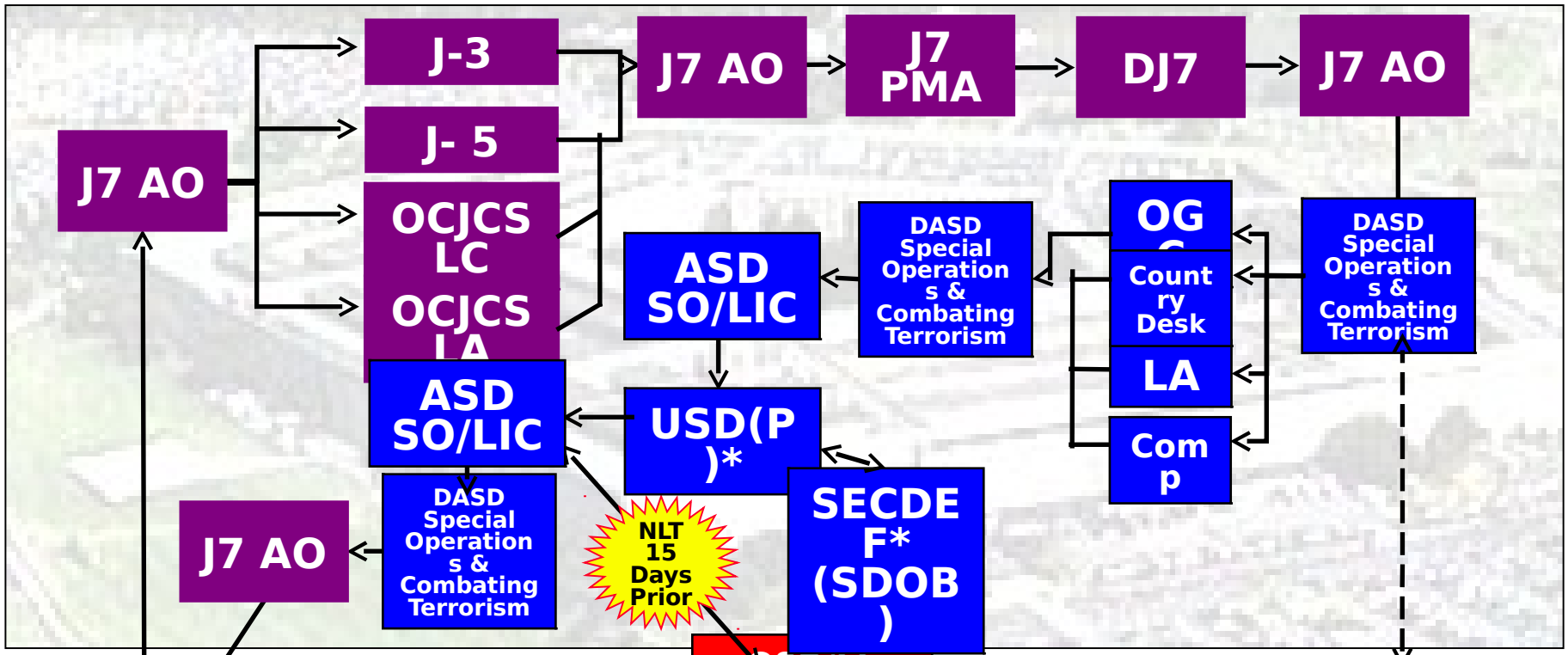
The Under Secretary of Defense for Policy will issue this policy and the attached responsibilities and procedures in an appropriate DoD issuance within 180 days of the date of this memorandum.

Attachments:
As stated

OSD004915-14

- Signed 28 May 14
- Expect DODI ~ Nov 14
- USD(P) – principal staff assistant & advisor
- ASD SO/LIC – principal agent & allocates authority
- Proposal routing: GCCs → Joint Staff → ASD SO/LIC → USD(P)

1203 Approval Process



*1203 goes to SECDEF via SDOB for approval if there is a unit command relationship change

Note: Annual Report to Congress required by 1 Apr

Joint Staff Implementation (1 of 2)

- Instructions and Proposal Template sent 11 Jul 14
- Revision sent XX Aug 14
- CJCSM 3511.01A, Joint Training Resource Manual, in development
  - Country Criteria
  - Limits of Authority
  - Information Systems
  - Submission
    - No annual submission
    - 90 days prior to event
    - Letter of Lateness GO/FO signed
    - Writing Guidelines

Joint Staff Implementation (2 of 2)

- Funding Oversight:
  - CCMD post-event reporting to JS w/i 45 days after event (or 15 days after receipt of info)
  - Revise initial proposal (actual cost of support provided; actual number of personnel trained - U.S. and friendly foreign national)
  - Include after-action assessment of training benefit to U.S. forces
- Annual Report to Congress
  - OSD report to congress NLT 1 Apr
  - CCMD input to Joint Staff NLT 15 Jan
  - Part 1: Summary of post-event reports in previous FY
  - Part 2: Summary of upcoming events: 1 Apr Y1 - 31 Mar Y2 (12 months)

Proposal Template (1 of 3)

- Proposal Template:
  - CJCSM 3511.01A: Step by step instructions on proposal preparation
  - DODM 5200.01: Security Markings
  - Address “functionally similar units” in Description

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CONCEPT OF OPERATIONS & REQUEST FOR AUTHORITY

FY2014 NDAA § 1203, Training of General Purpose Forces of the Unites States Armed Forces with Military and Other Security Forces of Friendly Foreign Countries

1. (X) **Requesting Combatant Command (CCMD):**
2. (X) **Fiscal Year Authority is Requested for:**
3. (X) **Name of Exercise/Training Event:**
4. (X) **Location:**
5. (X) **Start-End Dates of Exercise/Training Event:**
6. (X) **Date of First Need:**
7. (X) **Description of Exercise/Training Event:**
8. (X) **Summary of amount and description of assistance to be provided.** *(Example provided below)*

Description	Amount
Rations	*XX,XXX*
Fuel	*XX,XXX*
Training ammunition	*X,XXX*
Transportation (of personnel and/or equipment)	*X,XXX*
Other goods (provide detailed description)	*X,XXX*
Other services (provide detailed description particularly of contracted services)	*X,XXX*
Total	**$XXX,XXX**

9. (X) **Justification.** This exercise/training event is undertaken primarily to enhance the security interests of the United States. *Do not edit or delete highlighted text.*

a. (X) **U.S. Unit.**

(1) **(X) Primary U.S. unit being trained.** *(Example provided below)*

Service	Unit	Personnel involved (#)
U.S. Army		*XX*
U.S. Marine Corps		*XX*
U.S. Navy		*XX*
U.S. Air Force		*X*

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Page **1** of **3**

Proposal Template (2 of 3)

- MET(s) for US unit:
  - U.S. Readiness Training

- Leahy Vetting Required (initiated or completed)

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U.S. Coast Guard		*XX*
U.S. Customs & Border Patrol		*XX*

(2) (X) **Mission Essential Task (MET) of U.S. unit being trained.**
(Example provided below)

MET	MET Description
X.X.X	
X.X.X	

(3) (X) **How does training with the partner nation(s) in this event support these METs?**

b. **(X) Participating Friendly Foreign Country(ies).**

(1) (X) **Friendly Foreign National Troops and Financial Contribution:**
(Example provided below)

"Owning" CCMD	Country	Unit	Service	Personnel involved (#)	Financial Contribution (USD)
EXCOM	*Country X*	*XXX*	*MinDef*	*XX*	*$X*
EXCOM	*Country X*	*XXX*	*Army*	*XX*	*$X,XXX*
EXCOM	*Country X*	*XXX*	*National Police*	*XX*	*$X,XXX*
EXCOM	*Country Y*	*XXX*	*MinInterior*	*X*	*$X*
EXCOM	*Country Y*	*XXX*	*National Police*	*XX*	*$X,XXX*
ZEECOM	*Country Z*	*XXX*	*Maritime Police*	*XX*	*$X,XXX*
				Total	*$XX,XXX*

(2) (X) **Friendly Foreign National Country(ies) Physical Contribution:**
(Example provided below)

Description	Quantity
Contingency dormitory	*100 bed*
Dining facility (15 days x 4 meals x 50 person)	*3,000 meals*
Small arms range (M-60, M-4)	*7 days*
Aircraft parking ramp, fighter (12 spots x 20 days)	*240 spot-days*
Aircraft parking ramp, C-130 (3 spots x 20 days)	*60 spot-days*
Pier usage, 1x DDG, 1x FFG	*8 in-port days*

c. **(X) Observance of and respect for human rights and fundamental freedoms.**

(1) (X) Human rights vetting report from International Vetting and Security Tracking (INVEST) Batch ID: *XXXX-NNNNNN* attached.

Or,

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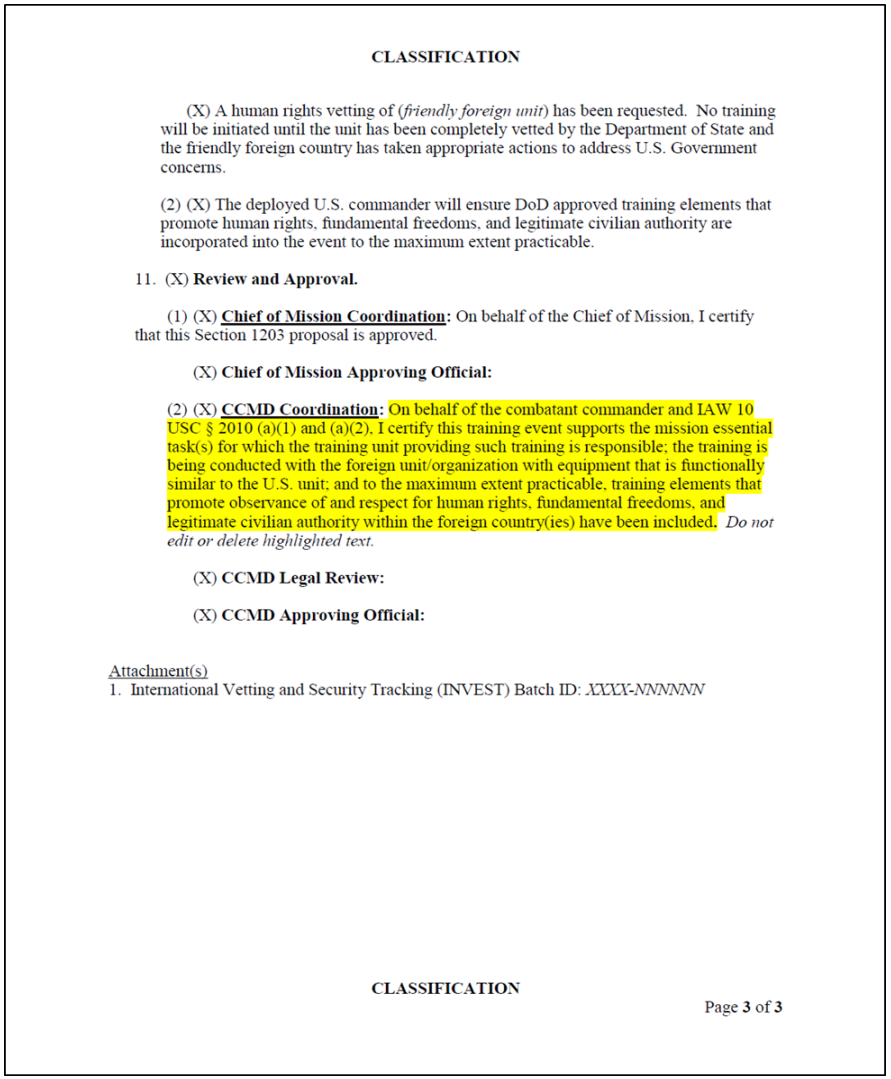
Page **2** of **3**

Proposal Template (3 of 3)

- Chief of Mission Approval
- CCMD Legal Review
- CCMD O-6 / GS-15 Approval



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(X) A human rights vetting of (*friendly foreign unit*) has been requested. No training will be initiated until the unit has been completely vetted by the Department of State and the friendly foreign country has taken appropriate actions to address U.S. Government concerns.

(2) (X) The deployed U.S. commander will ensure DoD approved training elements that promote human rights, fundamental freedoms, and legitimate civilian authority are incorporated into the event to the maximum extent practicable.

11. (X) **Review and Approval.**

(1) (X) **Chief of Mission Coordination:** On behalf of the Chief of Mission, I certify that this Section 1203 proposal is approved.

(X) **Chief of Mission Approving Official:**

(2) (X) **CCMD Coordination:** On behalf of the combatant commander and IAW 10 USC § 2010 (a)(1) and (a)(2), I certify this training event supports the mission essential task(s) for which the training unit providing such training is responsible; the training is being conducted with the foreign unit/organization with equipment that is functionally similar to the U.S. unit; and to the maximum extent practicable, training elements that promote observance of and respect for human rights, fundamental freedoms, and legitimate civilian authority within the foreign country(ies) have been included. *Do not edit or delete highlighted text.*

(X) **CCMD Legal Review:**

(X) **CCMD Approving Official:**

Attachment(s)
1. International Vetting and Security Tracking (INVEST) Batch ID: *XXXX-NNNNNN*

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Page **3** of **3**

Questions

2010 Discussion

DCCEP Law

- DCCEP authority vested in 10 U.S.C. 2010
- Established in 1986 to pay the incremental expenses of a developing country that are incurred by that country as the direct result of participation in a bilateral or multilateral military exercise if:
  1. the exercise is undertaken primarily to enhance the security interests of the United States; and
  2. the SECDEF determines that the participation by such country is necessary to the achievement of the fundamental objectives of the exercise and that those objectives cannot be achieved unless the United States provides the incremental expenses incurred by such country.
- Requires DoS concurrence
- OSD provides *authority*, no funds – CCMDs use their Service-provided O&M funds

DCCEP Law & Policy (continued)

- DoD defines a “Developing Country” by using the following lists:
  1. The World Bank “List of Economies”
  2. The International Monetary Fund “World Economic Outlook”
  3. UN Development Program “Human Development Report”
- Exception to DoD DCCEP Eligibility Policy
- Annual (fiscal) requirements
  - Due to Joint Staff on 1 Apr
  - Expect OSD approval in Sep
- Out of Cycle requests submitted 60 days prior to need or exercise date
- After the fact requests are unacceptable

DCCEP Issues

- Revised template
  - Significantly reduced OSD & DoS RFIs
  - Almost a month ahead of last year's schedule
- Joint Training Resource Manual (CJCSM 3511.01A) in draft
  - Incorporates DCCEP SPINS into CJCSM
  - Codifies DCCEP process
- OSD Reorg & personnel
  - DCCEP moved from ASD SO/LIC to ASD SC
  - No confirmed ASD SC yet, so USD(P) signing DCCEP requests
  - New OGC rep
- Working multiyear approval (1 request / exercise) - no promises

DCCEP Template (1 of 2)

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REQUEST FOR AUTHORITY

U.S. Code Title 10 Section 2010, Developing Countries Combined Exercise Program (DCCEP)

1. (X) **Requesting Combatant Command (CCMD):**

2. (X) **Fiscal Year Authority is Requested for:**

3. (X) **Name of Exercise:**

4. (X) **Location:**

5. (X) **Start-End Dates of Exercise/Planning Event:**

6. (X) **Date of First Need:**

7. (X) **Description of Exercise:**

8. (X) **Country(ies) to Receive Assistance:** *(Example provided below)*

CCMD X	*Country 1, Country 2, Country 3 … Country 8*
CCMD Y	*Country 9, Country 10*

9. (X) **Summary of Amount and Description of Assistance:**
(Example provided below)

Description	**Amount (in USD)**
Travel	*XX,XXX*
Per diem	*XX,XXX*
Small arms ammunition (5.56mm), 10,000 rounds	*X,XXX*
Total	***$XX,XXX***

12. (X) **Justification:** This exercise is undertaken primarily to enhance the security interests of the United States. *Do not edit or delete highlighted text.*

a. (X) **Security Interests. Describe how training with this partner nation(s) in this exercise is in the security interests of the United States.**

b. (X) **Readiness Objectives. Identify the most important/critical U.S. unit mission essential task(s) supported by training with this partner nation(s) in this exercise.**

c. (X) **Impact. Describe the impact on U.S. training objectives in this exercise if the assistance to the partner nation(s) would not be provided.**

d. (X) **Security Cooperation Objectives. Describe how training with this partner nation(s) in this exercise will support the CCMD's theater campaign plan objective(s).**

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Page **1** of **2**

DCCEP Template (2 of 2)

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13. (X) **Review and Approval**: "On behalf of the combatant commander and IAW 10 USC § 2010 (a)(1) and (a)(2), I certify that the exercise is undertaken primarily to enhance the security interests of the United States and that participation by the above listed countries is necessary to achieve the fundamental objectives of the exercise and those objectives cannot be achieved unless the United States provides the incremental expenses incurred by those participating countries." *Do not edit or delete highlighted text.*

a. (X) **CCMD Legal Review**:

b. (X) **CCMD Approving Official**:

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Questions

SMEB Discussion

Recent SMEB Changes

- **New Template** – effective in May 14
  - US and Foreign units / assets (aircraft, ships, tanks, etc.) – provide number or estimate
  - SMEB = PPAG – make sure your PA is submitting a PPAG to OSD 30 days prior to SMEB'd exercise
  - Must include information about embassy coordination
- **DCP / SMEB Guidance** – June 14
  - DCP for exercise due 60 days prior to CCD
  - Include DCP HOOP # on SMEB

Recent SMEB Changes

- **DoS List Update** – issued June 14
- **SMEB Schedule Guidance** – effective in Aug 14
  - Improve situational awareness of all exercises
  - Minimize late SMEBs
  - Optimize SMEB notifications
  - Document exercise assessments

SMEB Challenges

- Spell out acronyms & minimize military language
- Bomber flight maps
- NSC conditional concurrences – must ensure completion
- Updates (dates, numbers, cancellation, etc.)

Questions